

Procedure Year: 2025

Procedure Status: Approved

Procedure Department: CO - Honduras - Tegucigalpa

Record Owner: Gerardo Cerrato

Created by: Gerardo Cerrato,9/23/2025, 8:46 AM

Procedure Rating: Highly Satisfactory

Procedure Name: ASM-18000

Procedure Type: Design

Last Modified by: Ivan ZVERZHANOVSKI,9/25/2025, 12:12 AM

Portofolio/Project Type: Project

RELATED PORTFOLIOS/PROJECTS (1)						
NAME	PORTOFOLIO/PROJECT NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01004981	01004981- Innovación financiera local para la transformación resiliente de los sistemas alimentarios en el Corredor Seco de Honduras	CO - Honduras - Tegucigalpa	On Going		10/1/2025	12/31/2026

RELATED SESPS (1)		
PARENT PROCEDURE	PROCEDURE TYPE	PROCEDURE YEAR
ASM-18005	SESP (Social and Environmental Screening Procedure)	2025

RELATED OPPORTUNITIES (1)		
NAME	STAGENAME	STATUS
HND- Scaling Local Finance Solutions for Food Systems and Green Economy Transitions	Agreement Signed (100%)	PRODOC approved

Approval Information

Approval Date: Thu Sep 25 00:00:00 GMT 2025

Approved By: Ivan ZVERZHANOVSKI
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APPROVAL HISTORY			
STEP NAME	DATE	STATUS	ASSIGNED TO
Submitted for Approval	2025-09-25 00:12:05	Approved	Jenny Maria Berganza Trejo
Submitted for Approval	2025-09-24 16:08:14	Reassigned	Jenny Maria Berganza Trejo

QA Questionnaire:

Strategic

Status: Complete

Quality Rating: Exemplary

1. Does the project specify how it will contribute to higher level change through linkage to the programme's Theory of Change?
- 3: The project is clearly linked to the programme's theory of change. It has an explicit change pathway that explains how the project will contribute to outcome level change and why the project's strategy will likely lead to this change. This analysis is backed by credible evidence of what works effectively in this context and includes assumptions and risks.

- ☐ 2: The project is clearly linked to the programme's theory of change. It has a change pathway that explains how the project will contribute to outcome-level change and why the project strategy will likely lead to this change.
- ☐ 1: The project document may describe in generic terms how the project will contribute to development results, without an explicit link to the programme's theory of change.

***Note: Projects not contributing to a programme must have a project-specific Theory of Change. See alternative question under the information icon for these cases.**

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

La teoría de cambio se detalla en el documento, mostrando cómo la intervención fortalece capacidades, promueve la inclusión financiera y la resiliencia climática de pequeños y medianos productores en un sistema crediticio agroalimentario, y articula actores públicos, privados y comunitarios para lograr impacto sostenible. Esta se vincula con lo establecido en el CPD, que establece: " La teoría del cambio del PNUD es la siguiente: si los interlocutores nacionales a) logran implementar prácticas e incentivos sostenibles bajo un enfoque basado en la naturaleza, que tenga en cuenta los riesgos y que responda a las cuestiones de género mediante el fortalecimiento de las cadenas de valor productivas clave y la promoción de negocios sostenibles e inclusivos;..... entonces, se potenciará la sostenibilidad de la vía de desarrollo de Honduras, lo que permitirá a los ciudadanos disfrutar de sus derechos ambientales, económicos y sociales; y se reforzará la resiliencia de la población."

2. Is the project aligned with the UNDP Strategic Plan?

- ☒ 3: The project responds to at least one of the development settings as specified in the Strategic Plan and adapts at least one Signature Solution. The project's RRF includes all the relevant SP output indicators. (all must be true)
- ☐ 2: The project responds to at least one of the development settings as specified in the Strategic Plan. The project's RRF includes at least one SP output indicator, if relevant. (both must be true)
- ☐ 1: The project responds to a partner's identified need, but this need falls outside of the UNDP Strategic Plan. Also select this option if none of the relevant SP indicators are included in the RRF.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto se alinea con:

La Transformación Estructural Acelerada, particularmente inclusiva

La solución insignia Ambiente, y los resultados

1. Recursos naturales protegidos y manejados para mejorar la sostenibilidad productiva y medios de vida, y sus indicadores de personas que se benefician de iniciativas que promueven uso sostenible de los recursos, y Recursos Naturales que son utilizados bajo manejo sostenible, conservación, acceso y régimen de beneficio compartidos en áreas con prácticas mejoradas (que no son Áreas Protegidas)

2. Mecanismos de Inversión Públicos y Privados movilizados para soluciones climáticas, y sus indicadores de personas que se benefician de mecanismos para soluciones climáticas con recursos del sector público y/o privado, y Número de Personas con mejora en la resiliencia de la alimentación, debido a recursos públicos y/o privados

3. Is the project linked to the programme outputs? (i.e., UNSDCF/CPD, RPD or Strategic Plan IRRF for strategic interventions not part of a programme)

- ☒ YES
- ☐ NO

***Note: Project QA cannot be approved by Project QA Approver when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El prodoc enuncia:

Contribución a los Efectos y Productos del Marco de Cooperación

Marco de Cooperación de las Naciones Unidas para el Desarrollo Sostenible 2022-2026:

Prioridad Estratégica 2: Sentando las bases para aprovechar las oportunidades estructurales del mañana en el marco de la Agenda 2030

Efecto 2.2 El Estado y la sociedad hondureña implementan políticas,

estrategias y programas que permiten transitar hacia una transformación económica adecuada e inclusiva y el trabajo decente para mujeres y hombres.

P.2.2.4 Las poblaciones afectadas por las crisis de 2020 cuentan con capacidades reforzadas en acceso a esquemas productivos para la generación de empleo, prioritariamente las mujeres, y beneficiarse de la reactivación sostenible de los sectores económicos prioritarios en las zonas afectadas.

Efecto 2.3 El Estado Hondureño implementa políticas, estrategias y programas que permiten fortalecer la sostenibilidad y resiliencia de su desarrollo.

P.2.3.1 Las instituciones públicas y el sector privado refuerzan sus capacidades para la mitigación del cambio climático y la promoción de una economía verde y circular.

Prioridad Estratégica 3: Construyendo juntos el capital social de la próxima generación hondureña, con igualdad de género, equidad, y enfoque de derechos humanos.

P. 3.3.6 Las capacidades institucionales, a nivel nacional y local, y de la sociedad hondureña, son reforzadas para garantizar la seguridad alimentaria y nutricional de las poblaciones excluidas, e implementar iniciativas en esta materia, incluyendo la provisión de medios de vida sostenibles.

Relevant

Status: Complete

Quality Rating: Highly Satisfactory

4. Does the project identify target groups , and particularly those marginalized, vulnerable and left further behind (LNOB)

- ☐ 3: The LNOB target groups are clearly specified, prioritising discriminated, and marginalized groups left furthest behind, identified through a rigorous process based on evidence.
- ☒ 2: The LNOB target groups are clearly specified, prioritizing groups left furthest behind.
- ☐ 1: The LNOB target groups are not clearly specified.

*Note: Risk management must be done for criteria with score of 1. Projects that build institutional capacity should still identify targeted groups to justify support.

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto identificará a los grupos objetivo, especialmente a los marginados, vulnerables y “dejados atrás” (LNOB), a través de un diagnóstico técnico-financiero participativo aplicado a más de 200 organizaciones rurales, priorizando la inclusión de mujeres, jóvenes, pequeños productores y pueblos indígenas. Este diagnóstico permite identificar capacidades, necesidades y barreras estructurales de acceso a financiamiento y asistencia técnica. Además, el diseño de los productos financieros y los mecanismos de garantía se adapta a las realidades de estos grupos, asegurando su participación activa en la toma de decisiones y en la gobernanza de los Centros Financieros para el Desarrollo (CFD). El programa utiliza datos desagregados por sexo, edad y pertenencia étnica para monitorear el acceso y el impacto, y promueve la participación de los beneficiarios en la gestión y sostenibilidad de las soluciones, garantizando así que las intervenciones respondan a las necesidades de quienes históricamente han sido excluidos. Adicionalmente, se han tomado en consideración entidades que buscan el mejor interés de las personas históricamente “dejadas atrás”, y el documento de proyecto ha sido endosado por la Secretaría de Relaciones Exteriores y Cooperación Internacional.

5. Have knowledge, good practices, and past lessons learned of UNDP and others informed the project design?

- ☐ 3: Knowledge and lessons learned backed by credible evidence from sources such as evaluation, corporate policies/strategies, and/or monitoring have been explicitly used, with appropriate referencing, to justify the approach used by the project.
- ☒ 2: The project design mentions knowledge and lessons learned backed by evidence/sources but have not been used to justify the approach selected.
- ☐ 1: There is little, or no mention of knowledge and lessons learned informing the project design. Any references made are anecdotal and not backed by evidence.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Sí, el documento incorpora explícitamente conocimientos, buenas prácticas y lecciones aprendidas tanto del PNUD como de otras fuentes. En la sección de justificación y diseño del programa, se menciona que la propuesta se fundamenta en experiencias previas exitosas de innovación financiera rural en Honduras y en la región, así como en la sistematización de modelos de Centros Financieros para el Desarrollo (CFD) que han demostrado ser efectivos para la inclusión financiera y la resiliencia de pequeños productores rurales. Además, se hace referencia a la integración de recomendaciones y aprendizajes derivados de evaluaciones, políticas sectoriales, estrategias nacionales y experiencias de cooperación internacional, incluyendo la FAO y otras agencias del Sistema de Naciones Unidas. El documento también destaca la importancia de adaptar y escalar modelos que han funcionado en contextos similares, y de incorporar mecanismos de monitoreo y evaluación para capturar nuevas lecciones durante la implementación

6. Does UNDP have a clear advantage to engage in the role envisioned by the project vis-à-vis national / regional / global partners and other actors?

- ☒ 3: An analysis has been conducted on the role of other partners in the area where the project intends to work, and credible evidence supports the proposed engagement of UNDP and partners through the project, including identification of potential funding partners. It is clear how results achieved by partners will complement the project's intended results and a communication strategy is in place to communicate results and raise visibility vis-à-vis key partners. Options for south-south and triangular cooperation have been considered, as appropriate. (all must be true)
- ☐ 2: Some analysis has been conducted on the role of other partners in the area where the project intends to work, and relatively limited evidence supports the proposed engagement of and division of labour between UNDP and partners through the project, with unclear funding and communications strategies or plans.
- ☐ 1: No clear analysis has been conducted on the role of other partners in the area that the project intends to work. There is risk that the project overlaps and/or does not coordinate with partners' interventions in this area. Options for south-south and triangular cooperation have not been considered, despite its potential relevance.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Sí, el documento demuestra que el PNUD y la FAO tienen una clara ventaja para liderar el proyecto frente a otros actores nacionales, regionales o globales. Esto se debe a su experiencia comprobada en la gestión de programas de desarrollo sostenible, la estructuración de mecanismos financieros innovadores y el acompañamiento técnico al Gobierno de Honduras, como la colocación del primer bono soberano temático sostenible en 2024. La FAO aporta su experticia en sistemas alimentarios, autogestión financiera rural y formulación de políticas nacionales de cajas de ahorro y crédito. Ambos organismos han desarrollado modelos exitosos de inclusión financiera y gobernanza territorial, integrando actores públicos, privados y comunitarios. Además, su capacidad para movilizar recursos, articular alianzas y transferir conocimientos técnicos y metodológicos les permite escalar e institucionalizar soluciones adaptadas a contextos rurales, asegurando sostenibilidad y replicabilidad.

Principled

Status: Complete

Quality Rating: **Highly Satisfactory**

7. Does the project apply a human rights-based approach?

- ☒ 3: The project is guided by human rights and incorporates the principles of accountability, meaningful participation, and non-discrimination in the project's strategy. The project upholds the relevant international and national laws and standards. Any potential adverse impacts on enjoyment of human rights were rigorously identified and assessed as relevant, with appropriate mitigation and management measures incorporated into project design and budget. (all must be true)
- ☐ 2: The project is guided by human rights by prioritizing accountability, meaningful participation and non-discrimination. Potential adverse impacts on enjoyment of human rights were identified and assessed as relevant, and appropriate mitigation and management measures incorporated into the project design and budget. (both must be true)
- ☐ 1: No evidence that the project is guided by human rights. Limited or no evidence that potential adverse impacts on enjoyment of human rights were considered

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto incorpora el enfoque basado en los derechos humanos al priorizar la inclusión de grupos históricamente excluidos como mujeres rurales, jóvenes, pueblos indígenas y pequeños productores, asegurando su participación activa en la toma de decisiones, el acceso a servicios financieros adaptados, asistencia técnica y formación. Además, promueve la igualdad de oportunidades, la transparencia y la rendición de cuentas mediante mecanismos de gobernanza participativa y monitoreo, y utiliza marcadores de género y derechos humanos para visibilizar y reducir brechas, garantizando que nadie quede atrás en el desarrollo territorial y económico del Corredor Seco de Honduras.

Adicionalmente, considera en su Procedimiento de Diagnóstico Socioambiental, riesgos relacionados con que la exclusión financiera y social de mujeres, jóvenes, pobres y personas históricamente marginadas persista, con sus debidas medidas de mitigación.

8. Does the project use gender analysis in the project design?

- ☐ 3: A participatory gender analysis has been conducted and results from this gender analysis inform the development challenge, strategy and expected results sections of the project document. Outputs and indicators of the results framework include explicit references to gender equality, and specific indicators measure and monitor results to ensure women are fully benefitting from the project. (all must be true)
- ☒ 2: A basic gender analysis has been carried out and results from this analysis are scattered (i.e., fragmented and not consistent) across the development challenge and strategy sections of the project document. The results framework may include some gender sensitive outputs and/or activities but gender inequalities are not consistently integrated across each output. (all must be true)
- ☐ 1: The project design may or may not mention information and/or data on the differential impact of the project's development situation on gender relations, women and men, but the gender inequalities have not been clearly identified and reflected in the project document.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Se incluye un análisis de género en el documento de proyecto, el cual hace una revisión por producto según el Marcador de Equidad de Género, según la Guía para la aplicación de los Marcadores de Igualdad de Género, Derechos Humanos y Mantenimiento de la Paz del Grupo de Desarrollo Sostenible de las Naciones Unidas.

9. Did the project support the resilience and sustainability of societies and/or ecosystems?

- ☒ 3: Credible evidence that the project addresses sustainability and resilience dimensions of development challenges, which are integrated in the project strategy and design. The project reflects the interconnections between the social, economic and environmental dimensions of sustainable development. Relevant shocks, hazards and adverse social and environmental impacts have been identified and rigorously assessed with appropriate management and mitigation measures incorporated into project design and budget. (all must be true)
- ☐ 2: The project design integrates sustainability and resilience dimensions of development challenges. Relevant shocks, hazards and adverse social and environmental impacts have been identified and assessed, and relevant management and mitigation measures incorporated into project design and budget. (both must be true)
- ☐ 1: Sustainability and resilience dimensions and impacts were not adequately considered.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El documento demuestra evidencia creíble de que el proyecto aborda la sostenibilidad y la resiliencia de los desafíos de desarrollo, integrando estas dimensiones en su estrategia y diseño. Refleja la interconexión entre lo social, económico y ambiental al priorizar la inclusión financiera, la igualdad de género, la adaptación climática y la gobernanza local. Se identifican y evalúan rigurosamente los riesgos y shocks relevantes, como la vulnerabilidad climática, la exclusión financiera y los cambios

políticos, incorporando medidas de gestión y mitigación en el diseño y presupuesto del proyecto. Ejemplo: el fortalecimiento de los Centros Financieros para el Desarrollo (CFD) incluye criterios ambientales y sociales para evitar prácticas productivas que agraven la degradación ambiental, y se promueven mecanismos de monitoreo y evaluación para medir el impacto en resiliencia y sostenibilidad.

10. Has the Social and Environmental Screening Procedure (SESP) been conducted to identify potential social and environmental impacts and risks? The SESP is not required for projects in which UNDP is Administrative Agent only and/or projects comprised solely of the preparation and dissemination of reports and communication materials; organization of events, workshops, or training; strengthening capacities of partners to participate in international negotiations and conferences; partnership coordination (including UN coordination) and management of networks; and global/regional projects with no country-level activities as well as Development Effectiveness projects and Institutional Effectiveness projects. [If yes, upload the completed checklist. If SESP is not required, Select all exemption criteria that apply.]

- ☒ Yes
☐ No
☐ SESP not required because project consists solely of (Select all exemption criteria that apply)

***Applicable only to option "SESP not required"**

- ☐ 1: Preparation and dissemination of reports, documents and communication materials
☐ 2: Organization of an event, workshop, training
☐ 3: Strengthening capacities of partners to participate in international negotiations and conferences
☐ 4: Partnership coordination (including UN coordination) and management of networks
☐ 5: Global/regional projects with no country level activities (e.g. knowledge management, inter-governmental processes)
☐ 6: UNDP acting as Administrative Agent
☐ 7: Development Effectiveness projects and Institutional Effectiveness projects

***Note: Project QA cannot be approved by Project QA Approver when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

SESP adjunto

Management & Monitoring

Status: Complete

Quality Rating: Satisfactory

11. Does the project have a strong results framework?

- ☒ 3: The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators that measure the key expected development changes, each with credible data sources and populated baselines and targets, including gender sensitive, target group focused, sex-disaggregated indicators where appropriate. (all must be true)
☐ 2: The project's selection of outputs and activities are at an appropriate level. Outputs are accompanied by SMART, results-oriented indicators, but baselines, targets and data sources may not yet be fully specified. Some use of target group focused, sex-disaggregated indicators, as appropriate. (all must be true)
☐ 1: The project's selection of outputs and activities are not at an appropriate level; outputs are not accompanied by SMART, results-oriented indicators that measure the expected change and have not been populated with baselines and targets; data sources are not specified, and/or no gender sensitive, sex-disaggregation of indicators. (if any is true)

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto incluye una Matriz de Resultados con indicadores SMART y en los cuales se ha incluido valores de línea base donde aplica

12. Is the project's governance mechanism clearly defined in the project document, including composition of the project board?

- ☐ 3: The project's governance mechanism is fully defined. Individuals have been specified for each position in the governance mechanism (especially all members of the project board.) Project Board members have agreed on their roles and responsibilities as specified in the terms of reference. The ToR of the project board has been attached to the project document. (all must be true)
☒ 2: The project's governance mechanism is defined; specific institutions are noted as holding key governance roles, but individuals may not have been specified yet. The project document lists the most important responsibilities of the project board, project director/manager and quality assurance roles. (all must be true)
☐ 1: The project's governance mechanism is loosely defined in the project document, only mentioning key roles that will need to be filled at a later date. No information on the responsibilities of key positions in the governance mechanism is provided.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto incluye un capítulo de Arreglos de Gestión en el cual se incluye el mecanismo de gobernanza en forma de Comité Directivo del proyecto.

13. Have the project risks been identified using the risk assessment tools (Project Quality Assurance, Social and Environmental Screening Procedure, Partner Capacity Assessment Tool, Harmonized Approach to Cash Transfer, Private Sector Due Diligence, etc., if applicable), with clear plans stated to manage and mitigate each risk?

- ☐ 3: Project risks related to the achievement of results are fully described in the project risk register, based on comprehensive analysis drawing on the programme's theory of change, Social and Environmental Standards and screening, situation analysis, capacity assessments and other analysis such as funding potential and reputational risk. Risks have been identified through a consultative process with key internal and external stakeholders, including consultation with the UNDP Security Office as required. Clear and complete plan in place to manage and mitigate each risk, including security risks, reflected in project budgeting and monitoring plans. (both must be true)
- ☒ 2: Project risks related to the achievement of results are identified in the initial project risk register based on a minimum level of analysis and consultation, with mitigation measures identified for each risk.
- ☐ 1: Some risks may be identified in the initial project risk register, but no evidence of consultation or analysis and no clear risk mitigation measures identified. This option is also selected if risks are not clearly identified, no initial risk log is included with the project document and/or no security risk management process has taken place for the project.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Se elabora el presente QA y se incluyen los riesgos socios ambientales del proyecto. Adicionalmente el proyecto incluye un espacio de Desafíos y Riesgos está incluido en el proyecto,

Efficient Status: Complete Quality Rating: Highly Satisfactory

14. Have specific measures for ensuring cost-efficient use of resources been explicitly mentioned as part of the project design?

- ☒ Yes
- ☐ No

***Note: Risk management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto incorpora medidas específicas para asegurar el uso eficiente de los recursos. Por ejemplo, se establece que más del 70% del presupuesto está destinado directamente a actividades que impactan procesos y sistemas locales de desarrollo sostenible, priorizando la inversión en Centros Financieros para el Desarrollo (CFD), asistencia técnica, herramientas digitales y productos financieros adaptados. Además, se implementan mecanismos de monitoreo y evaluación para medir el avance y la eficiencia en el uso de los fondos, y se promueve la reinversión de retornos financieros en el sistema, fortaleciendo la sostenibilidad y el impacto a largo plazo. El diseño del presupuesto incluye partidas detalladas para cada actividad, acuerdos de cofinanciamiento y mecanismos de control local, garantizando transparencia y optimización de los recursos asignados.

15. Is the budget justified and supported with valid estimates?

- ☐ 3: The project's budget is at the activity level with funding sources, and is specified for the duration of the project period in a multi-year budget. Realistic resource mobilisation plans are in place to fill unfunded components. Costs are supported with valid estimates using benchmarks from similar projects or activities. Cost implications from inflation and foreign exchange exposure have been estimated and incorporated in the budget. Adequate costs for monitoring, evaluation, communications and security have been incorporated.
- ☒ 2: The project's budget is at the activity level with funding sources, when possible, and is specified for the duration of the project in a multi-year budget, but no funding plan is in place. Costs are supported with valid estimates based on prevailing rates.
- ☐ 1: The project's budget is not specified at the activity level, and/or may not be captured in a multi-year budget.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Si, el proyecto incluye un presupuesto que incluye el área geográfica, fecha de inicio y fecha final a nivel de productos, el cual también se desglosa por categoría de gasto

16. Is the Country Office / Regional / Global Project fully recovering the costs involved with project implementation?

- ☒ 3: The budget fully covers all project costs that are attributable to the project, including programme management and development effectiveness services related to strategic country programme planning, quality assurance, pipeline development, policy advocacy services, finance, procurement, human resources, administration, issuance of contracts, security, travel, assets, general services, information and communications based on full costing in accordance with prevailing UNDP policies (i.e., UPL, LPL.)
- ☐ 2: The budget covers significant project costs that are attributable to the project based on prevailing UNDP policies (i.e., UPL, LPL) as relevant.
- ☐ 1: The budget does not adequately cover project costs that are attributable to the project, and UNDP is cross-subsidizing the project.

***Note: Risk management must be done for criteria with score of 1. The budget must be revised to fully reflect the costs of implementation before the project commences.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto incluye los gastos de la oficina de país para su ejecución e incorpora los Gastos Generales de apoyo (GMS) de acuerdo con las disposiciones del donante (GMS)

Effective

Status: Complete

Quality Rating: Satisfactory

17. Have targeted groups, and particularly those marginalized, vulnerable, and left further behind (LNOB), been engaged in the design of the project?

- ☐ 3: Credible evidence that all targeted groups, prioritising discriminated, vulnerable and marginalized populations that will be involved in or affected by the project, have been actively engaged in the design of the project. The project has an explicit strategy to identify, engage and ensure the meaningful participation of target groups as stakeholders throughout the project, including through monitoring and decision-making (e.g., representation on the project board, inclusion in samples for evaluations, etc.)
- ☒ 2: Some evidence that key targeted groups have been consulted in the design of the project.
- ☐ 1: No evidence of engagement with targeted groups during project design.
- ☐ Not Applicable

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

En el diseño del proyecto se han involucrado a las partes nacionales que representan a los beneficiarios; Secretaría de Recursos Naturales y Ambiente y Secretaría de Relaciones Exteriores y Cooperación Internacional, tal y como se muestra en el endoso del PRODOC por parte de dichos actores.

18. Does the project plan for adaptation and course correction if regular monitoring activities, evaluation, and lesson learned demonstrate there are better approaches to achieve the intended results and/or circumstances change during implementation?

- ☒ Yes
- ☐ No

***Note: Risk Management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El documento muestra que el proyecto contempla la adaptación y corrección de rumbo si el monitoreo, la evaluación o las lecciones aprendidas demuestran que existen mejores enfoques o si cambian las circunstancias. Esto se evidencia en la integración de sistemas de monitoreo y evaluación dentro de los Centros Financieros para el Desarrollo (CFD) y plataformas de gobernanza, que recopilan datos sobre inclusión financiera, acceso a servicios, resiliencia organizativa y participación de mujeres y jóvenes. Estos datos se organizan en función de indicadores alineados con metas ODS priorizadas a nivel territorial, facilitando su integración con reportes municipales, regionales y nacionales. Además, el documento prevé la revisión periódica de avances y la posibilidad de ajustar estrategias y actividades según los resultados obtenidos y el contexto, asegurando así la mejora continua y la pertinencia del proyecto durante su implementación.

19. The gender marker for all project outputs are scored at GEN2 or GEN3, indicating that gender has been fully mainstreamed into all project outputs at a minimum.

- ☒ Yes
- ☐ No

***Note: Risk management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

20. Have societal digital risks and opportunities been taken into account when designing the project's approach and have digital or data technology solutions been considered to enhance the efficiency, effectiveness and scalability of project results?

- ☐ 3: To the extent possible, societal digital risks and opportunities have been investigated when designing the strategy and Theory of Change, and the potential use of digital or data technologies in project activities has been considered in line with UNDP's digital standards and data principles. (All must be true)
- ☒ 2: Only the potential use of digital or data solutions in project activities has been considered in line with UNDP's digital standards and data principles, but there is no or limited evidence that aspects of inclusive digital societies have been considered in the design of the strategy or Theory of Change.
- ☐ 1: Neither societal digital risks and opportunities, nor digital or data technology solutions were specifically considered in the project design or, UNDP's digital standards and data principles are not taken into account when intending to use digital or data technology solutions in project activities.
- ☐ Digital considerations are not relevant to this project.

***Applicable only to option "Digital considerations are not relevant"**

- ☐ 1: Societal digital transformation is not a government or contextual priority
- ☐ 2: A non-digital approach yields higher effectiveness and efficiency
- ☐ 3: Other (specify in the "Evidence" section)

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Se incluyen soluciones digitales a través de plataformas de gestión financiera y se seguirán los estándares y principios del PNUD en las soluciones de datos y digitales.

Sustainability & National Ownership

Status: Complete

Quality Rating: Exemplary

21. Have national / regional / global partners led, or proactively engaged in, the design of the country / regional / global project, respectively?

- ☒ 3: National / regional / global partners have full ownership of the country / regional / global project and led the process of the development of the project jointly with UNDP.
- ☐ 2: The project has been developed by UNDP in close consultation with national / regional / global partners.
- ☐ 1: The project has been developed by UNDP with limited or no engagement with national partners.

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

En el diseño del proyecto se han involucrado a las partes nacionales que representan a los beneficiarios; la Secretaría de Relaciones Exteriores y Cooperación Internacional, tal y como se muestra en el endoso del PRODOC por parte de dichos actores, y a las partes regionales; los Centros de Desarrollo Financiero de La Paz y Choluteca, como se evidencia en las cartas de endoso.

22. Are key institutions and systems identified, and is there a strategy for strengthening specific / comprehensive capacities based on capacity assessments conducted?

- ☒ 3: The project has a strategy for strengthening specific capacities of national institutions and/or actors based on a completed capacity assessment. This strategy includes an approach to regularly monitor national capacities using clear indicators and rigorous methods of data collection, and adjust the strategy to strengthen national capacities accordingly.
- ☐ 2: A capacity assessment has been completed. There are plans to develop a strategy to strengthen specific capacities of national institutions and/or actors based on the results of the capacity assessment.
- ☐ 1: Capacity assessments have not been carried out
- ☐ Not Applicable

***Note: Risk management must be done for criteria with score of 1.**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

Se identifican claramente las instituciones y sistemas clave, y presenta una estrategia para fortalecer capacidades específicas y comprensivas basada en evaluaciones realizadas. Se menciona que más de 200 organizaciones rurales (cooperativas, cajas rurales, grupos de mujeres y jóvenes) fueron diagnosticadas técnica y financieramente para identificar capacidades, brechas y potencial de acceso a financiamiento y asistencia técnica. A partir de este diagnóstico, se diseñan programas de formación técnica e institucional dirigidos a actores locales, gobiernos municipales y entidades financieras, abarcando temas como gestión

financiera, análisis de riesgo, finanzas verdes, gobernanza territorial, inclusión financiera y uso de herramientas digitales. Además, se implementan módulos formativos, mentorías y acompañamiento a medida, asegurando que las capacidades desarrolladas sean sostenibles y alineadas con las prioridades del territorio. Todo esto se monitorea y evalúa periódicamente para ajustar la estrategia según los resultados.

23. Is there is a clear strategy embedded in the project specifying how the project will use national systems (i.e., procurement, monitoring, evaluations, etc.,) to the extent possible?

- ☐ Yes
☐ No
☒ Not Applicable

***Note: Risk management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

El proyecto es DIM

24. Is there a clear transition arrangement / phase-out plan developed with key stakeholders in order to sustain or scale up results (including resource mobilisation and communications strategy)?

- ☒ Yes
☐ No

***Note: Risk management must be done when the response is "No".**

Evidence (Enter a short explanation or upload a document that provides evidence for your response)

La estrategia de salida se basa en asegurar la sostenibilidad del mecanismo financiero y la continuidad de los resultados más allá del periodo de ejecución del programa. Esta estrategia se apoya en la institucionalización, la apropiación territorial y la diversificación de fuentes de financiamiento.